IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
Takahiro YAMAGUCHI)	
Application No.: Unassigned)	Group Art Unit: Unassigned
Filed: November 3, 2003)	Examiner: Unassigned
For: OPTICAL DISK REPRODUCING APPARATUS AND METHOD FOR CONTROLLING SPINDLE MOTOR)))	
Commissioner for Patents MAIL STOP PATENT APPLICATION		

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of each listed document is attached

The following are listed on the accompanying PTO-1449 and are in a language other than English.

- a. <u>Japanese Unexamined Patent Publication No. 9-212998</u>. The relevance of this document is discussed at page <u>2,3</u> of the specification of the present application and shown in the English-language abstract.
- b. <u>Japanese Unexamined Patent Publication No. 9-130824.</u> The relevance of this document is shown in the English-language abstract.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If it should be determined that the listed document does not constitute "prior art" under United States law, Applicant reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document. Applicant further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0310.

Respectfully submitted,

MORGAN, LEWIS & BOCKIUS LLP

Robert J Goodell-Reg. No. 41,040

Date: November 3, 2003

MORGAN, LEWIS & BOCKIUS LLP 1111 Pennsylvania Avenue, N.W. Washington, D.C. 20004 (202) 739-3000

Attorney Docket No. Serial No. 040894-5971 Unassigned INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Applicant Tokahiro YAMAGUCHI **PTO Form 1449** Filing Date Group November 3, 2003 Unassigned **U.S. PATENT DOCUMENTS** *Examiner Document Sub Initial Number Date Name Class Class Filing Date FOREIGN PATENT DOCUMENTS Document Sub **Translation** Number Date Country Class Class YES NO Aug. 15, 1997 Abstract 9-212998 Japan 9-130824 May 16, 1997 Abstract Japan OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Date Considered Examiner

Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through

citation if not in conformance and not considered. Include copy of this form with next communication to

Examiner:

applicant.